Reference: EXECUTIVE

Section: CORRESPONDENCE / COMMUNICATION

Title: LOBBYING CONTACT POLICY

Policy Number: 01-03-04 Issue Date: 04-26-2010 Revision Date: 05-04-2021

I. PURPOSE

The purpose of this policy is to comply with the requirements of section 2987 of the Public Authorities Law.

II. APPLICABILITY

This policy applies to all Niagara Frontier Transportation Authority and the Niagara Frontier Transit Metro System, Inc. (collectively referred to as "NFTA" or "Authority") employees.

III. POLICY

Section 2987 of the Public Authorities Law requires that the NFTA maintains a record of all Lobbying Contacts. Every member, officer or employee of the NFTA who is contacted by a Lobbyist shall make a contemporaneous record of such Contact containing the day and time of the Contact, the identity of the Lobbyist and a general summary of the substance of the Contact. All records shall be provided to the NFTA General Counsel, who shall maintain the records as required by statute.

IV. <u>DEFINED TERMS</u>

Lobbyist means every person or organization retained, employed or designated by any client to engage in lobbying. The term Lobbyist shall not include any officer, director, trustee, employee, counsel or agent of the state, or any municipality or subdivision within the State of New York when discharging their official duties; except those officers, directors, trustees, employees, counsels, or agents of colleges, as defined by section two (2) of the Education Law.

Lobbying shall mean and include any attempt to influence:

- i. the adoption or rejection of any rule or regulation having the force and effect of law by the NFTA;
 and
- ii. the outcome of any rate making proceeding by the NFTA.

Contact shall mean any conversation, in person or by telephonic or other remote means, or correspondence between any Lobbyist engaged in the act of Lobbying and any person within the NFTA who can make or influence a decision on the subject of the Lobbying on behalf of the NFTA, and shall include, at a minimum, all members of the Board and all officers.